



PATENT

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Applicant(s): Wong et al. Docket No.: 36457.0200
Serial No.: 09/710,488 Group Art Unit: 2171
Filed: November 10, 2000 Examiner: TBA
TITLE: METHOD AND SYSTEM FOR ENGINEERING AND BUSINESS
ASSESSMENT AND SOLUTIONS

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND SYSTEM FOR ENGINEERING AND BUSINESS ASSESSMENT AND SOLUTIONS, the specification of which:

☐ is attached hereto.

☒ was filed on November 10, 2000 as Application Serial No. 09/710,488 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's

certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

[]

Number	Country	Filing Date
--------	---------	-------------

[]

Number	Country	Filing Date
--------	---------	-------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/165,115	November 12, 1999
------------	-------------------

Application Number	Filing Date
--------------------	-------------

Application Number	Filing Date
--------------------	-------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status -- Patent, Pending, Abandoned
------------------------	-------------	--------------------------------------

Application Serial No.	Filing date	Status -- Patent, Pending, Abandoned
------------------------	-------------	--------------------------------------

Application Serial No.	Filing Date	Status -- Patent, Pending, Abandoned
------------------------	-------------	--------------------------------------

Application Serial No.	Filing date	Status -- Patent, Pending, Abandoned
------------------------	-------------	--------------------------------------

Application Serial No.

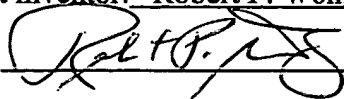
Filing date

Status -- Patent, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor: Robert P. Wong

Inventor's signature:



Date:

Residence:

Huntington

New York/USA

City

State/Country

Citizenship:

USA

Post Office Address: 12 Cameron Dr.

Zip Code: 11743

Full name of second joint inventor: Thomas J. Cassar

Inventor's signature: 

Date: 4/24/01

Residence: _____

St. James

City

New York/USA

State/Country

Citizenship: _____

USA

Post Office Address: 8 Roseville Avenue

Zip Code: 11780

Full name of third joint inventor: Cynthia L. Roth

Inventor's signature: Cynthia Roth

Date: 4/19/2001

Residence: _____

Massapequa

New York/U.S.

City

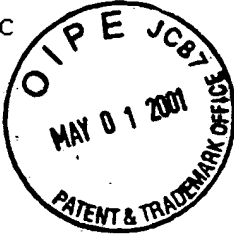
State/Country

Citizenship: _____

USA

Post Office Address: 118 Hampton Blvd.

Zip Code: 11758



4

PATENT

Applicant(s): Wong et al. Docket No.: 36457.0200
Serial No.: 09/710,488 Group Art Unit: 2171
Filed: November 10, 2000 Examiner: TBA
TITLE: **METHOD AND SYSTEM
FOR ENGINEERING AND
BUSINESS ASSESSMENT
AND SOLUTIONS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) AND 1.27© --
SMALL BUSINESS CONCERN**

Assistant Commissioner for Patents
Washington, D.C. 20231-9998

Dear Sir/Madam:

I hereby declare that I am:

- ☐ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: Ergonomic Technologies Corporation

ADDRESS OF CONCERN: 6851 Jericho Turnpike, Suite 200, Syosset, New York 11791

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §§121.3-18, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

METHOD AND SYSTEM FOR ENGINEERING AND BUSINESS ASSESSMENT
SOLUTIONS

by inventor(s) Robert P. Wong, Thomas J. Cassar, and Cynthia L. Roth

described in:

- ☐ the specification filed herewith
☒ application serial no. 09/710,488, filed November 10, 2000
☐ patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

NAME: _____

ADDRESS: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME: _____

ADDRESS: _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

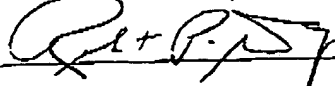
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b))

I hereby declare that all statements made herein are of my own knowledge, are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Robert P. Wong

TITLE OF PERSON OTHER THAN OWNER: President and COO

ADDRESS OF PERSON SIGNING: _____

SIGNATURE:  DATE: _____

SNELL & WILMER L.L.P.

One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-2202
(602) 382-6250
(602) 382-6070 Fax